

Whistleblower Policy

Overview

The Dobbs Ferry Public Library (the "Library") is committed to operating in an environment of honesty and integrity and in compliance with all applicable laws, rules and regulations, including those concerning accounting and auditing. The Library prohibits fraudulent practices by any of its trustees, employees, or volunteers and expects its trustees, employees, and volunteers to conduct themselves in accordance with law, regulation, Library policy, and procedures. The Library establishes a policy against unlawful or fraudulent conduct and outlines a procedure for trustees, employees, and volunteers to report actions that such person reasonably believes violates a law or regulation, or that constitutes fraudulent accounting or other practices. This policy applies to any matter which is related to the Library's business and does not relate to private acts of an individual not connected to the business of the Library.

Policy

The Library prohibits fraudulent practices by any of its trustees, employees, or volunteers and expects its trustees, employees, and volunteers to conduct themselves in accordance with law, regulation, Library policy, and procedures.

If a trustee, employee, or volunteer has a reasonable belief that a trustee, employee, or volunteer, or the Library as a whole, has engaged in any action that: (1) violates any applicable law or regulation, including those concerning accounting and auditing, or (2) constitutes a fraudulent practice, that person is required and expected to immediately report such information to the Library Director. If the person does not feel comfortable reporting the information to the Library Director, he or she is expected to report the information to a member of the Board of Trustees. The person may, in addition, report the matter to a federal, state, or local agency.

All reports will be reported to the Board of Trustees, acted upon promptly, and an investigation conducted. The person assigned to conduct the investigation will deliver his/her findings to the Board of Trustees, which will take action upon the report as necessary. In conducting such investigations, the Library will strive to keep the identity of the reporting individual as confidential as possible, while conducting an adequate review and investigation. Any individual who is the subject of any report made pursuant to this policy shall not be present at or participate in any deliberations or voting of the Board of Trustees or a committee thereof with respect to such report, provided, however, that the Board of Trustees or committee thereof shall be permitted to request that the person who is the subject of such report present information as background or answer questions at a Board of Trustees or committee meeting prior to the commencement of deliberations or voting on the relevant report made pursuant to this policy.

The Library will not retaliate against an employee in the terms and conditions of employment, or intimidate, harass, discriminate against, or otherwise retaliate against any trustee, officer, employee or volunteer of the Library because such person: (a) reports, in good faith, to a supervisor, the Executive Director, the Board of Trustees, or to a federal, state or local agency what such person believes, in good faith, to be a violation of the law or an adopted policy of the Library, or (b) participates, in good faith, in any resulting investigation or proceeding, or (c) exercises his or her rights under any state or federal law(s) or regulation(s) to pursue a claim or

take legal action to protect his or her rights. The Library may take disciplinary action (up to and including termination) against an employee, officer, trustee, or volunteer who, in the Board of Trustees' assessment, has engaged in retaliatory conduct in violation of this policy or has violated the provisions of this policy.

Responsibility

Trustees and Supervisors will be trained on this policy and on the Library's prohibition against retaliation in accordance with this policy. All employees, trustees, and volunteers will receive a copy of this policy and be asked to acknowledge receipt in writing by signing it. The Library shall post this policy.

Received, Reviewed and Accepted:

NAME

TITLE (I.E. TRUSTEE, EMPLOYEE TITLE, VOLUNTEER)

DATE